

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
44041.010400

In Re Application Of: Cochrum et al.

Serial No.  
09/438,872

Filing Date  
November 12, 1999

Examiner  
Sandra Saucier

Group Art Unit  
1651

Title: **HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS**

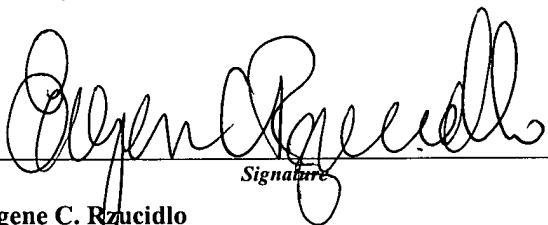
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

(a) Request for Corrected Filing Receipt; (b) the present Transmittal Letter (2x); (c) Filing Receipt as mailed on August 16, 2001; and (d) one return postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 501-561 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Eugene C. Rzucaidlo  
Attorney Registration No. 31, 900  
Greenberg Traurig, LLP  
885 Third Avenue  
New York, New York 10022  
Telephone: 212.801.9200

Dated: 8/23/01

I certify that this document and fee is being deposited on Aug 22 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

A. Scheffer

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Cochrum et al.**

Docket No.

**44041.010400**

Serial No.

**09/438,872**

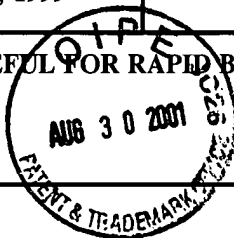
Filing Date

**November 12, 1999**

Examiner

**Sandra Saucier**

Group Art Unit

**1651**Invention: **HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS**I hereby certify that this **Request for Corrected Filing Receipt and accompanying documentation**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**August 27, 2001**

(Date)

**A. Scheffer**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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Docket No.: 44041.010400

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Serial No.: 09/438,872

Filed: November 12, 1999

Cochrum *et al.*

Group Art Unit: 1651

Examiner: Sandra Saucier



For: Hemostatic Polymer Useful for Rapid Blood Coagulation and Hemostasis

Assistant Commissioner for Patents  
Office of Petitions  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants respectfully request that the Commissioner issue a Corrected Filing Receipt in view of various errors contained in the Corrected Official Filing Receipt mailed on August 16, 2001. A copy of the Corrected Official Filing Receipt mailed on August 16, 2001 is attached hereto.

The Attorney Docket Number should have been correctly listed as **44041.010400** instead of 49239.5

The Attorney Name should have been correctly listed as **Eugene C. Rzucidlo** instead of Vineet Kohli, per a Request for Change of Attorney Address as filed with the United States Patent and Trademark Office on August 1, 2001.

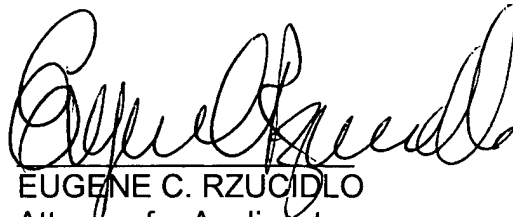
The Firm Name should have been correctly listed as **Greenberg Traurig, LLP** instead of Graham & James LLP, per a Request for Change of Attorney Address as filed with the United States Patent and Trademark Office on August 1, 2001.

Applicants respectfully request that the Commissioner issue a new Corrected Filing Receipt which includes the corrected information as stated above. Please charge any required fee to the Greenberg Traurig Deposit Account No. 501561.

Respectfully Submitted,  
**Greenberg Traurig, LLP**

Date: August 23, 2001

By:

A handwritten signature in black ink, appearing to read 'Eugene C. Rzucidlo', written over a horizontal line.

EUGENE C. RZUCIDLO  
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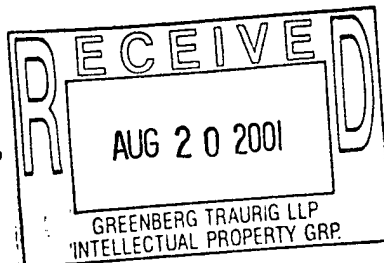
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/438,872	11/12/1999	1651	948	49239.5	10	44	5



VINEY KOHLI  
GRAHAM & JAMES LLP  
INTELLECTUAL PROPERTY GROUP  
885 THIRD AVENUE  
NEW YORK, NY 10022



CONFIRMATION NO. 9965

CORRECTED FILING RECEIPT



Date Mailed: 08/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

KENT C. COCHRUM, DAVIS, CA;  
ROBERT A. GUNTHER, DAVIS, CA;  
SUSAN A. JEMTRUD, SAN FRANCISCO, CA;  
FRANKLIN M. BENINSIG, SACRAMENTO, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/108,185 11/12/1998

## Foreign Applications

If Required, Foreign Filing License Granted 12/17/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS

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**Preliminary Class**

424

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**Data entry by : RIMANDO, EMELITA**

**Team : OIPE**

**Date: 08/16/2001**

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**Title 35, Unit d States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
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- The title is recorded in sentence case.

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 Office of Initial Patent Examination  
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 Washington, DC 20231



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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 9965

<b>SERIAL NUMBER</b> 09/438,872	<b>FILING DATE</b> 11/12/1999 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1651	<b>ATTORNEY DOCKET NO.</b> 44041.010400
<b>APPLICANTS</b> KENT C. COCHRUM, DAVIS, CA; ROBERT A. GUNTHER, DAVIS, CA; SUSAN A. JEMTRUD, SAN FRANCISCO, CA; FRANKLIN M. BENINSIG, SACRAMENTO, CA;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/108,185 11/12/1998				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 12/17/1999				
Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 44
Verified and Acknowledged	Examiner's Signature _____ Initials _____			<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> Eugene C. Rzucidlo Greenberg Traurig, LLP 885 Third Avenue, 22nd Floor New York, NY 10022				
<b>TITLE</b> HEMOSTATIC POLYMER USEFUL FOR RAPID BLOOD COAGULATION AND HEMOSTASIS				
<b>FILING FEE RECEIVED</b> 1033	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	